February 7, 2002

1123.40972X00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicants:

J. KOENIG, et al

erial No.:

10/014,406

Filing Date:

December 14, 2001

For:

LOCALLY DISTRIBUTED SPEECH RECOGNITION SYSTEM AND

METHOD OF ITS OPERATION

Group:

Not assigned

Examiner:

Not assigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 & 1.98

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

In the matter of the above-identified application, applicants are submitting herewith a copy of a communication from a foreign patent office in a counterpart foreign application and copies of the documents listed in the attached form equivalent to Form PTO-1449 for the Examiner's consideration.

This information disclosure statement is being submitted within three months of the filing date.

To the extent the documents listed on the attached form equivalent to Form PTO-1449 are not in the English language, the requirement of 37 CFR §1.98(a)(3) for a concise explanation of the relevance is satisfied by an English language version or translation of the foreign patent office report citing the documents.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus Deposit Account No. 01-2135 (1123.40972X00) please credit any excess fees to such deposit account.

Respectfully submitted,

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2 . . 1 SERIAL NO. ATTY. DOCKET NO. U.S. Department of Commerce FORM PTO-1449 (Rev. 4/92) Patent and Trademark Office 10/014,406 1123.40972X00 INFORMATION DISCLOSURE STATEMENT BY APPLICANT **APPLICANT** J. KOENIG, et al (Use several sheets if necessary) FEB 0 7 2002 **GROUP** FILING DATE Not assigned December 14, 2001 **U.S. PATENT DOCUMENTS** FILING DATE IF APPROPRIATE EXAMINER SUBCLASS CLASS DATE NAME DOCUMENT NUMBER INITIAL 8/96 Cobbley et al 4 6 5 3 8 5 5 9/99 Jacobs et al 5 6 6 8 9 5 FOREIGN PATENT DOCUMENTS ABSTRACT SUBCLASS CLASS DATE COUNTRY DOCUMENT NUMBER NO YES 9/95 **Europe an** 7 2 0 7 1 6 0 9 4/00 PCT 2 6 2 0 0 XX PCT 6 8 8/99 4 0 5 9 9 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Speech Recognition for Telephone Access Systems, IBM Technical Disclosure Bulletin, US, IBM

DATE CONSIDERED EXAMINER

Corp., vol. 33, No. 12, May 1, 1991, pp. 106-109.

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])